



UNITED STATES DEPARTMENT OF COMMERCE
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/435,154 11/08/99 YAMAZAKI S SEL142

COOK MCFARRON & MANZO LTD
200 WEST ADAMS STREET
SUITE 2850
CHICAGO IL 60606

NOT ASSIGNED

2812

DATE MAILED: 12/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
- \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
- _____
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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FORM PTO-1533 (REV. 9/98)

U.S. GPO: 1998-446-824

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

See for

Docket SEL 142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

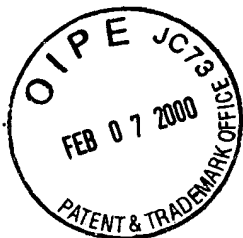
In Re Application of:

Shunpei YAMAZAKI et al.

Serial No.: 09/435,154

Filed: November 8, 1999

For: Semiconductor Device And Method Of
Manufacturing The Same



I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Assistant
Commissioner for Patents, Washington,
D.C. 20231, on February 4, 2000

Cristine M. Hall

Date 2 / 4 / 2000

COMPLETION OF FILING REQUIREMENTS

Box: Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice of Missing Parts dated December 8, 1999, in the above-identified application.

Declaration or Oath

X Enclosed is the original declaration or oath for this application since the declaration or oath was not filed with the application.

Assignment

X Enclosed is an assignment of the invention to
Semiconductor Energy Laboratory Co., Ltd.

Fees

X Surcharge for filing the oath or declaration on a date later than the filing date of the application

130.00

X Assignment recordal fee

40.00

TOTAL

\$170.00

Payment of Fees

X Enclosed is a check in the amount of \$ 170.00.

_____ Charge Account No. 50/1039 in the amount of \$170.00. A duplicate of this request is attached.

Authorization to Charge Additional Fees

X The Commissioner is hereby authorized to charge any additional fees which may be required by this paper and during the pendency of this application to Account No. 50/1039.

X Filing fees.

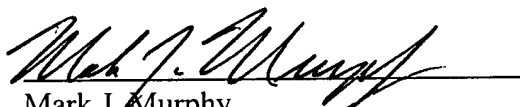
X Presentation of extra claims.

X Extension of time

X Application processing fees.

X Any processing fees required.

Date: January 31, 2000


Mark J. Murphy
Registration No.: 34,225

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